



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |                    |
|--|--------------------|
| Application No.                          | 09/964,820         |
| Filing Date                              | September 26, 2001 |
| First Named Inventor                     | David G. Leeper    |
| Art Unit                                 | 2634               |
| Examiner Name                            | H. Vartanian       |
| Attorney Docket Number                   | 42390P10398        |
| Total Number of Pages in This Submission | 5                  |

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment / Response
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☒ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
  - ☐ PTO/SB/08
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
  - ☐ Basic Filing Fee
  - ☐ Declaration/POA
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☒ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below):

Remarks

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Technology Center 2600

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |  |
|-------------------------|--|
| Firm or Individual name | Gregory D. Caldwell, Reg. No. 39,926<br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature               |  |
| Date                    | August 24, 2004  |

## CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

|                       |                 |      |                 |
|-----------------------|-----------------|------|-----------------|
| Typed or printed name | Gayle M. Bekish |      |                 |
| Signature             |                 | Date | August 24, 2004 |



# FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 750.00

Complete if Known

Application Number 09/964,820  
Filing Date September 26, 2001  
First Named Inventor David G. Leeper  
Examiner Name H. Vartanian  
Art Unit 2634  
Attorney Docket No. 42390P10398

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☒ None  
☒ Deposit Account

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 770      | 2001         | 385      | Utility filing fee     |          |
| 1002         | 340      | 2002         | 170      | Design filing fee      |          |
| 1003         | 530      | 2003         | 265      | Plant filing fee       |          |
| 1004         | 770      | 2004         | 385      | Reissue filing fee     |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          |              |          |                        | (\$)     |

### 2. EXTRA CLAIM FEES

Total Claims  - 20\*\* =  X  =   
Independent Claims  3 =  X  =   
Multiple Dependent

| Large Entity |          | Small Entity |          | Fee Description   | Fee Paid |
|--------------|----------|--------------|----------|---|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |          |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                    |          |
| 1201         | 86       | 2201         | 43       | Independent claims in excess of 3                         |          |
| 1203         | 290      | 2203         | 145      | Multiple Dependent claim, if not paid                     |          |
| 1204         | 86       | 2204         | 43       | **Reissue independent claims over original patent         |          |
| 1205         | 18       | 2205         | 9        | **Reissue claims in excess of 20 and over original patent |          |
| SUBTOTAL (2) |          |              |          |   | (\$)     |

\*\*or number previously paid, if greater. For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity        |          | Small Entity |          | Fee Description  | Fee Paid    |
|---------------------|----------|--------------|----------|--|-------------|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |  |             |
| 1051                | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |             |
| 1052                | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet.                    |             |
| 2053                | 130      | 2053         | 130      | Non-English specification  |             |
| 1812                | 2,520    | 1812         | 2,520    | For filing a request forex parte reexamination                             |             |
| 1804                | 920 *    | 1804         | 920 *    | Requesting publication of SIR prior to Examiner action                     |             |
| 1805                | 1,840 *  | 1805         | 1,840 *  | Requesting publication of SIR after Examiner action                        |             |
| 1251                | 110      | 2251         | 55       | Extension for reply within first month                                     |             |
| 1252                | 420      | 2252         | 210      | Extension for reply within second month                                    | 420.00      |
| 1253                | 950      | 2253         | 475      | Extension for reply within third month                                     |             |
| 1254                | 1,480    | 2254         | 740      | Extension for reply within fourth month                                    |             |
| 1255                | 2,010    | 2255         | 1,005    | Extension for reply within fifth month                                     |             |
| 1404                | 330      | 2401         | 165      | Notice of Appeal   | 330.00      |
| 1402                | 330      | 2402         | 165      | Filing a brief in support of an appeal                                     |             |
| 1403                | 290      | 2403         | 145      | Request for oral hearing   |             |
| 1451                | 1,510    | 2451         | 1,510    | Petition to institute a public use proceeding                              |             |
| 1452                | 110      | 2452         | 55       | Petition to revive - unavoidable   |             |
| 1453                | 1,330    | 2453         | 665      | Petition to revive - unintentional   |             |
| 1501                | 1,330    | 2501         | 665      | Utility issue fee (or reissue)   |             |
| 1502                | 480      | 2502         | 240      | Design issue fee   |             |
| 1503                | 640      | 2503         | 320      | Plant issue fee  |             |
| 1460                | 130      | 2460         | 130      | Petitions to the Commissioner  |             |
| 1807                | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |             |
| 1806                | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |             |
| 8021                | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |             |
| 1809                | 770      | 1809         | 385      | Filing a submission after final rejection (37 CFR § 1.129(a))              |             |
| 1810                | 770      | 2810         | 385      | For each additional invention to be examined (37 CFR § 1.129(b))           |             |
| 1801                | 770      | 2801         | 385      | Request for Continued Examination (RCE)                                    |             |
| 1802                | 900      | 1802         | 900      | Request for expedited examination of a design application                  |             |
| Other fee (specify) |          |              |          |  |             |
| SUBTOTAL (3)        |          |              |          |  | (\$) 750.00 |

\* Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Gregory D. Caldwell Registration No. (Attorney/Agent) 39,926 Telephone (503) 439-8778  
Signature [Signature] Date 08/24/04